

How it Works

Your “Brokerage Window” is a brokerage account that allows you or your designated Registered Investment Advisor (RIA) and Representative to invest your existing 401(k), 403(b) and other employer sponsored retirement plan account assets into a range of investment options not offered within your standard plan account options.

401(k) & 403(b) Advice

Studies have found that most participants in employer retirement plans do not pay the needed amount of attention to the investment elections they make and rarely revisit those elections as markets change and become more volatile. To serve our clients’ best interest, we evaluate their retirement plan account, identify the risks associated with their current allocation and then customize an investment solution consistent for their age & number of years before retirement. Through the entire process and over the years, we provide on-going investment advice on all facets of your financial plan.

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Securities offered through Registered Representatives of Anywhere Broker Dealer, a broker/dealer, Member FINRA/SIPC. Advisory Services offered through Anywhere BD, a Registered Investment Advisor.

As a participant in your employer's retirement plan, you can utilize a “brokerage window” to have more choice, flexibility & access to personal investment advice!



Do I need Retirement Account Management?

Check the box next to each statement that applies to you:

- I have all of my financial goals and objectives written out and clearly defined.
- I am confident that the level of risk I am taking with my current investments are appropriate for my time horizon and investment goals.
- I have a target asset allocation model that I follow for each investment objective.
- I feel confident that I'm knowledgeable to address my financial planning objectives with a high degree of competency.
- My employer retirement account is actively integrated & coordinated with my other financial assets.
- I am confident that I will have sufficient savings to provide me with adequate income in my retirement.

If you did NOT check the box on most or all of these questions, working with an investment advisor may be the right choice for you. With the guidance of an Investment Advisor Representative, a Self Directed Brokerage Option can be a good solution for less experienced investors who want investment advice as well as a coordinated road map with the other assets that comprise their complete financial plan.

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